

## CURRICULUM VITAE

**DENNIS CHARLES SMITH**  
**Associate Professor of Public Policy**

**NEW YORK UNIVERSITY**  
**ROBERT F. WAGNER GRADUATE SCHOOL OF PUBLIC SERVICE**

**Professor in Residence, New York State Assembly**

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BORN: August 12, 1945 - Chicago, IL

DEGREES: B.A. University of New Mexico - Political Science 1967  
M.A. Indiana University - Political Science 1969  
Ph.D. Indiana University - Political Science 1976

### AWARDS AND HONORS:

B.A. *magna cum laude* in Political Science  
*magna cum laude* in General Honors

Phi Beta Kappa  
Woodrow Wilson fellowship

National Defense Education Act Fellowship, Title IV, Department of Political Science, Indiana University

TEACHING: Associate Professor (Fall 1978 - present)  
Professor in Residence New York State Assembly (Spring,2006-present)

Assistant Professor (1973 - 78)

Robert F. Wagner Graduate School of Public Service  
New York University

Lecturer in Political Science, Indiana University Southeast, Jefferson, Division of Social Sciences (1969-71)

Visiting Professor Boconni University, Milan, Italy. Fall, 2005.

Visiting Professor, American University in Paris, Spring 2007 to 2009.

ADMINISTRATION at Robert F. Wagner Graduate School of Public Service

New York University:

Program Manager, Wagner/Accenture Leading Large Scale Change Executive Briefing Series, July 2007- present.

Director, Wagner International Initiative, 1998-2002.

Director, Public Policy Specialization, 1992-1997

Director, Public Administration Program, 1982-90

Associate Dean, 1986-88

RESEARCH/CONSULTANT:

Expert witness for NYC Department of Law in *Floyd v City of New York* and *Davis v, City of New York* (cases involving evaluation of NYPD Stop. Question and Frisk policy and practice)

Consultant, Common Cause/NY, "An Approach To Performance Reporting On New York State Services To The Neediest Citizens: A White Paper," 2010-2011.

Evaluation consultant, Assessment of Public Involvement Strategies of the NY Metropolitan Transportation Authority, Federal Transportation Administration funded project,. Rudin Center for Transportation Policy and Management.

Consultant on Police Leadership, Police Executive Research Forum, 2008 to present.

Consultant: Office of the Commissioner, NYC Department of Environmental Protection, Study of the organization and management of the DEP Police, May, 2007 to April, 2008.

Consultant., Office of the Commissioner, New York City Police Department, Assessment of Operation Impact: Strategies to reduce crime hotspots in New York City. November, 2005-June 2007.

Consultant, Office of the Commissioner, New York City Police Department, Assessment of the process of maintaining the integrity of crime reports, 2005.

Consultant, NYC Human Resources Administration, Assessing the implementation of the WeCare Initiative, 2005-present.

Consultant, Office of the Commissioner, New York City Police Department, Assessment of Borough Command Structure, 2003-2004.

Consultant, Office of the NYC Deputy Mayor for Operations, Project on Performance Based Contracting 2002-2004.

Consultant, Charles Hayden Foundation, Evaluation of General Support Initiative, 1996-1998

Consultant, Dewitt-Wallace-Reader's Digest Fund, Evaluation of the "Management Initiative" A Program to Develop the Management Capacity of Youth Serving Organization (1995).

Consultant, New York City Police Foundation, Study of the Recruit Training Program of the New York Police Department Academy (1994)

Co-Director, study of ambulance service in New York City, with James R. Knickman, Health Research Program, with support from the Commonwealth Fund (1989 - 1991)

Director, study of the New York City Mayor's Management Planning and Reporting System, in collaboration with Barbara Gunn, Director of the Mayor's Office of Operations, with support from the Fund for the City of New York (1988-90).

Co-Director, study of the impact of retrenchment on the New York City Police Department (1980-81), under the auspices of the NYPD Research Advisory Committee.

Principal Investigator of "A Two-Wave Panel Study of the Impact of Education on Police Attitudes and Performance," a study funded by the Office of Criminal Justice Education and Training, Law Enforcement Assistance Administration (1977-80).

Director of Survey of Police Officials in the Police Services Study, Phase II, a study of police performance in three metropolitan areas under a grant from the National Science Foundation, Research Applied to National Needs Division (full time, Summers 1976 and 1977).

Design and execution of a study, "Institutional Arrangements and the Police: St. Louis Metropolitan Area," 1971-73, a grant to Dr. Elinor Ostrom, Associate Professor of Political Science, Indiana University, from the Center for Studies of Metropolitan Problems, National Institute of Mental Health.

Research Consultant, studies on citizen evaluations of the police in Indianapolis and Chicago metropolitan areas, 1969-71, under a grant to Dr. Elinor Ostrom from the National Science Foundation.

Research Associate, "The Organization of Government Response to Civil Disorders in Indiana," under a grant to Dr. Philip S. Kronenberg, Assistant Professor of Political Science, Indiana University, from the Law Enforcement Assistance Administration, Summer 1969.

## INTERNATIONAL EXPERIENCE

Co-teach with faculty of Bocconi University, Summer Course on Managing International Organizations, 2005- present.

Director, Wagner International Initiative, 1998-2002.

Taught International Capstone course at NYU Wagner, directing teams of students in year long capacity building projects for UN agencies and international non governmental organizations that have worked in Mozambique, Bosnia, South Africa, Cote D'Ivoire, Indonesia, Peru, Nicaragua, Tanzania, Egypt, Brazil, Mongolia, Uganda, and India. Current team project in Jordan, developing and leading a public management training program for young government officials for the Education for Employment Foundation, at the request of the Royal Court., 1995 to present.

Designed and led a new Masters degree program at NYU Wagner in Management of International Public Organizations, 1998-2002.

Directed NYU Wagner Partnership in Democratic Decision-making with the Ukrainian Institute of Public Administration, jointly with the European Institute of Public Administration (funded by US Department of State and the European Union)

Directed University Partnership with Eduardo Mondlane University, Maputo Mozambique, to develop Public Policy Analysis Curriculum and faculty development ( with Department of State Funding), 1999-2004.

Directed an evaluation of family health initiative in Southern Africa operated by Planned Parenthood of New York with USAID funding. 2003.

Taught summer course on The Use of Policy Analysis in Europe at the Ecole Nationale de Travaux Publics de l' Etat, 1992-1999.

Taught televideo course on European Union/American Union with the Institute of European Studies of the Universite Libre de Bruxelles, 1996- 2001, with European Union and Department of State funding.

Participant in TransAtlantic Dialogue of the American Society of Public Administration and the European Union, 1999.

#### PROFESSIONAL ACTIVITIES:

Lecture on evidence base management for the City manager and his leadership team, Milan Italy, March, 2014

Lecture, Grand Rounds, Department of Preventive Medicine, Mt Sinai Hospital

Lecture, David Rogers Colloquium, Weill Cornell Medical Center, 5/29/13

Member, Task Force on Performance Reporting, NYC Mayor's Office of Operations, Spring, 2012 –Fall, 2013

Speaker, International Finance Corporation/ Gates Foundation Conference on Managing for Results, Washington, DC, April, 2013.

Member, Public Safety Transition Committee. Governor Andrew Cuomo, 2010.

Keynote speaker, New York State Leadership and Accountability Conference, Albany, May, 2008.

Senior Consultant on Performance Management, SEEDCO/N-PAC, 1996 to 2009.

Member of Board, Institute of Public Administration, 1998-present.

Member, New Progressive Scholars Network of the Progressive Policy Institute, 1996-2002.

Consultant, Innovation in Government Award Program, Harvard University, 1990 – 1998.

UNIVERSITY AND COMMUNITY SERVICE:

Faculty Advisory Committee, King Juan Carlos I Center at NYU, 1998- 2004.

Member, NYU Graduate Commission, 2013- present

Member, NYU Curriculum Challenge Grant Committee

Member, Faculty Advisory Committee, European Union Center at New York University, 1998-2006.

Member, NYU Graduate Commission, 1996-2005.

Chairman, Subcommittee on Graduate and Professional Education, New York University, Chancellor's Task Force on Internal and External Communication., 1983

Chairman, New York University Faculty Council, 1982-83

Vice-Chairman, New York University Faculty Council, 1980-82

Member, Editorial Board, NYU Press, 1980-83.

Member, Presidential Search Internal Advisory Commission, 1980-81

RESEARCH AND TEACHING INTERESTS:

Performance measurement in the public and nonprofit sector

Management of international public service organizations

Urban public service delivery systems, especially police

Public Policy Analysis

Program evaluation and public policy impact analysis

EDITORIAL BOARD MEMBERSHIP:

The Journal of Comparative Policy Analysis  
Policy and Society

## ARTICLES AND PUBLICATIONS:

"A Multi-Strata, Similar Design for Measuring Police Performance," with Elinor Ostrom and Roger B. Parks; paper presented at the Annual Meeting of the Midwest Political Science Association (Chicago, 1973).

"The Effects of Training and Education on Police Attitudes and Performance: A Preliminary Analysis," with Elinor Ostrom, in Herbert Jacob, ed., **The Potential for Reform of Criminal Justice** (Volume III, **Sage Criminal Justice Systems Annuals**, 1974).

"On the Fate of Lilliputs in Metropolitan Policing," with Elinor Ostrom, **Public Administration Review** (March-April, 1976). Earlier version presented at the American Society for Public Administration meetings in Chicago, April 2-5, 1975. Excerpts from this paper comprised the main article in the **Criminal Justice Newsletter: A Bi-Weekly Report on Significant Developments for Leaders in Criminal Justice Administration**, Vol. 6, No. 11, May 26, 1975. Edited version in D. Hagman, **Public Planning and Control of Urban and Land Development** (West, 1980).

**Police Professionalization and Performance: An Analysis of Public Policy from the Perspective of Police as Producers and Citizens as Consumers of a Public Service** (unpublished Ph.D. dissertation, Indiana University, 1976).

"Dangers of Police Professionalization: An Empirical Analysis," **Journal of Criminal Justice**, Vol. 6, Fall 1978. Earlier version presented to the American Society for Public Administration, Annual Meetings, Washington DC, 1976).

"Police Attitudes and Performance: The Impact of Residency," **Urban Affairs Quarterly**, Vol. 15, No. 3 (March, 1980).

**The Effects of Higher Education on Police Performance: A Critical Review of Findings**, a consultant report for the National Advisory Commission on Higher Education for Police Officers, Washington DC: The Police Foundation, 1978.

"Racial Context as a Factor in Changing Police Organizations," with Diane Baillargeon, presented at the Annual Meeting of the American Society for Public Administration, Phoenix AZ, 1978.

"Value Biases in Performance Assessment," presented at the Annual Meeting of the American Political Science Association, New York, 1978. Accepted for publication in **Evaluation Review**.

"Reforming the Police: Organizational Strategies for the Urban Crisis," in Joseph Hawes, ed. **Law and Order in American History**; Port Washington NY: Kennikat Press, 1979.

**Educating the Police: An Interim Assessment**, with Diane Baillargeon, the final report of "A Two-Wave Panel Study on Police Attitudes and Performance" to the Office of Criminal Justice Education and Training (LEAA Grant 78-CD-AX-00027, August 1979).

**Booking the Police: Police Education Re-examined**, with Diane Baillargeon, the final report of "A Two-Wave Panel Study..." (LEAA *op. cit.*) An earlier version was presented at the annual meeting of the American Society for Criminology, 1979.

"In Pursuit of Safety: Alternative Patterns of Police Production in Three Metropolitan Areas," with Diane Baillargeon, in **Journal of Social Issues**, Vol. 30, No. 4 (1980).

"Police," in **Setting Municipal Priorities, 1982**, Charles Brecher and Raymond D. Horton, eds., New York: Russell Sage Foundation, 1982. Reprinted in **Setting Municipal Priorities: American Cities and the New York Experience**, C. Brecher and R.D. Horton, eds., NYU Press, 1984.

John Mathiason and Dennis Smith, "The Diagnostic of Reform: The Evolving Tasks and Functions of the United Nations," **Public Administration and Development** (Vol. 7, No.2, 1987).

**Performance Management in New York City: A Review of the Mayor's Management Plan and Reporting System** (Preliminary Report, October 1990).

**Improving Ambulance Use in New York City: A Final Report** (with James R. Knickman and Carolyn Berry) New York University Health Research Program report to the Commonwealth Fund, March 1991.

"Managing the Demand for Emergency Service: The New York City EMS" (with James R. Knickman and Carolyn Berry); a paper presented at the 13<sup>th</sup> Annual Research Conference of the Association of Public Policy and Management, Denver, Colorado, October 1992.

"HRA Adrift: Social Spending without Direction" (with William Grinker) in **City Journal**, September 1993.

"Performance Management in New York City: The Mayor's Management Plan and Report System in the Koch Administration, a paper presented at the 15<sup>th</sup> Annual Research Conference of the Association of Public Policy and Management, Washington, D.C., October, 1993.

"Managing Organizational Transformations: The Case of Problem-solving Community Policing in New York City," a paper presented at the 16<sup>th</sup> Annual Research Conference of the Association of Public Policy and Management, October, 1994.

"Implementing UN CIVPOL: The Challenges of International Public Management, presented at the International Studies Association Toronto Convention, March 19, 1997

"What can public managers learn from police reform in New York? COMSTAT and the promise of performance management," presented at the 19th Annual Research Conference of the Association of Public Policy and Management (APPAM) in Washington, D.C., Nov. 6-8, 1997.

"Using Technology to Create International Educational Partnerships," a paper presented at parallel plenary sessions at the 50th Anniversary Conference of the Council on International Education Exchange in Barcelona, Spain, November 18-20, 1997.

"Making Management Count: Toward Theory-Based Performance Management," (with R. Barnes) 20th Annual Research Conference of the Association of Public Policy and Management (APPAM) in New York, NY., November 2-4, 1998. Revised version submitted for final review to **Nonprofit Leadership and Management**.

"Performance Management in New York City: COMPSTAT and the Revolution in Police Management," (with William Bratton) in **Quicker, Better, Cheaper?: Managing Performance in American Government**, edited Dall Forsythe, SUNY Press Albany, 2001.

"Electronic government, transparency, and performance management in the governance of cities," a paper presented at the United Nations/Metropolitan Seoul Conference on E-Governance, Seoul, Korea, August, 2001.

"Old Wine, New Bottles? The Distinctive Challenges of Managing International Public Service Organizations," A paper presented at the 23rd Annual Research Conference of the Association for Public Policy Analysis and Management (APPAM) in Washington DC, November 1-3, 2001.

"Managing UNCIVPOL: The potential of performance management in international public services," in Dijkzeul, D., Beigbeder, Y (eds.) **Rethinking International Organizations: Pathologies and Promise**, Berghahn Books, Oxford/New York, 2003.

"The Promise and Pitfalls of Performance Base Contracting." A paper presented at the 25<sup>th</sup> Annual Research Conference of the Association for Public Policy Analysis and Management (APPAM) in Washington DC, November 6-7, 2004.

"An Empirical Assessment of Seven Years of SATCOM: The NYPD Command Structure in Brooklyn North" (with Joseph Benning) A paper presented at the 26<sup>th</sup> Annual Research Conference of the Association for Public Policy Analysis and Management (APPAM) in Atlanta, Georgia November 3-5, 2005.

"The Transformation of Social Services Management in New York City: "CompStating" Welfare" (with William Grinker) A paper presented at the 26<sup>th</sup> Annual Research Conference of the Association for Public Policy Analysis and Management (APPAM) in Atlanta, Georgia November 3-5, 2005.

"Partners in Performance: Effectiveness and integrity in the public sector," with Frank Anechiarico, paper presented at the ASPA conference "Ethics and Integrity in Governance: A Trans-Atlantic Dialogue, in Leuven, Belgium, June 1-3, 2005.

"Practice, practice, practice: The education and training of policy analysts at NYU/Wagner" in Iris Geva-May ed., **Thinking Like a Policy Analyst: A Clinical Approach to Policy Analysis**, Palgrave, 2005.

“Putting it all together: E-government, Transparency and Performance Management.” Presented at the APEC/Korean Independent Commission Against Corruption Seminar on E-government, Transparency and Governance, Seoul, Korea, September 1-2, 2005.

“Managing for Performance and Integrity: Administrative Reform in New York City Government” (with Frank Anechiarico). Presented at the Annual Meetings of the American Society for Public Administration, April 4, 2006, Denver, Colorado.

“Performance as Integrity, Integrity as Performance: A New Paradigm for Public Administration” (with Frank Anechiarico). Presented at the ASPA conference “Public Sector Performance: A Trans-Atlantic Dialogue, in Leuven, Belgium, June 1-3, 2006. Also presented at City University of Hong Kong, June 9, 2006.

“Crime Reduction and Economic Development in New York City: The Re-distributional Effects of Improving Public Safety “ ( with Robert Purtell)  
A paper presented at the 27<sup>th</sup> Annual Research Conference of the Association for Public Policy Analysis and Management (APPAM) in Madison, Wisconsin, November 3-5, 2006.

“An Empirical Assessment of NYPD’s ‘Operation Impact’: A Targeted Zone Crime-Reduction Strategy” ( with Robert Purtell), a paper presented at the APPAM Annual Research Conference, Washington DC, November, 2007.

“Can New York CompStat State Government Performance?” an invited paper presented in Workshop on Performance Measurement in Multi-level Governments at the 4<sup>th</sup> TransAtlantic Public Administration Dialogue in Milan, Italy, June, 2008.

“Does Stop and Frisk Stop Crime” (with Robert Purtell) A paper presented at the 29<sup>th</sup> Annual Research Conference of the Association for Public Policy Analysis and Management (APPAM) in Los Angeles, California, November 6-9, 2008.

“Evaluation of the New York Integrity System” in *Local Integrity Systems: World Cities Fighting Corruption and Safeguarding Integrity*, edited by Leo Huberts, et al., BJU Legal Publishers, 2008.

“Right from the Start: The Managerial Advantages of Combining Effectiveness and Integrity in Policy Design,” (with Frank Anechiarico) paper presented and annual research conference of the Association of Public Policy and Management, Washington DC, November 5-7, 2009.

“Implementing Police Management Reform: the diffusion of Compstat in the cities of New York State” With Robert Purtell, paper presented and annual research conference of the Association of Public Policy and Management, Washington DC, November 5-7, 2009.

“Making Management Count: A case for theory and evidence based public management,” **Journal of Policy Analysis and Management**, Summer 2009.

“Are New York State’s Public Authorities Performing Well? Who knows?” **NYSBA Government, Law and Policy Journal** | Fall 2009 | Vol. 11 | No. 2 63

Perspectives on Knowledge Converted into Action, **Public Administration Review**, Volume 70 Issue 2, Pages 317 – 319, February, 2010.

“Public Safety Policy in New York State” ( with Martin Horn) in Gerald Benjamin, **Public Policy in New York State**, Oxford University Press, 2012.

“The Joint Pursuit of Government Integrity and High Performance” with Frank Anechiarico, in **NYSBA Government, Law and Policy Journal** | Winter 2011 | Vol. 13 | No. 2

“Policy Analysis in the Budgetary Process: A Case Study of the New York Legislature” with Robert Purtell, paper presented and annual research conference of the Association of Public Policy and Management, Washington DC, November 5-7, 2011.

“Combining Effectiveness and Integrity in Public Management: Outcome oriented e-governance and transparency in the fight against corruption” (with Frank Anechiarico) paper presented and annual research conference of the Association of Public Policy and Management, Washington DC, November 5-7, 2011.

“Twinning Effectiveness and Integrity: Lessons from Management Reforms in the Fight Against Police Corruption in New York” ( with Frank Anechiarico) *Journal of Police Studies* (January, 2013)

“Is Stop, Question and Frisk an Effective Tool in Crime Reduction?” ( with Robert Purtell) paper presented at the annual research conference of the Association of Public Policy and Management, Baltimore, Maryland, November 4-6, 2012.

“The Measured City: Reforming The New York Mayor’s Management Report after Three Decades in Operation,” paper presented and annual research conference of the Association of Public Policy and Management, Baltimore, Maryland, November 4-6, 2012.

“How Good is the Evidence in Evidence Based Management? Auditing the Audit Function” a paper presented at the of the Public Management Research Association Conference, Madison, Wisconsin, June, 2013.

The Devolution of Performance Management: Implementing Federal Programs at the Local Level ( with Robert Doar) presented at the annual research conference of the Association of Public Policy and Management, Washington DC, November, 2013, which was the subject of a blog of by John Kamensky of The

IBM Center on the Business of Government: "Trickle Down Performance Management," December 3, 2013.

An Empirical Exploration of the Mystery of The NYPD Crime Decline (with Robert Purtell , Santiago Guerrero and Greg Umbach) presented at the annual research conference of the Association of Public Policy and Management, Washington DC, November, 2013.